Topological Interference Alignment in Wireless Networks

Babak Hassibi

joint work with Kishore Jaganathan and Christos Thramboulidis

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Smart Antennas Workshop (Paulraj's 70'th Celebration) Stanford, August 1, 2014



- Interference Alignment
 - degrees-of-freedom
 - channel state issues, ergodic interference alignment



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- Topological Interference Alignment
 - Iow-rank matrix factorization
 - index coding, network coding



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 - cellular networks: comparison to frequency re-use
 - ad hoc networks: comparison to graph coloring



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 - cellular networks: comparison to frequency re-use
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- Conclusion



As we all know, wireless communication systems are characterized by

- Isolation broadcast during transmission
- interference during reception
- I random fading
- ø path-loss
- o mobility and time-varying channel conditions
- time-varying traffic patterns



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All have been successfully expolited in practical systems (perhaps) with the exception of *interference*.





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Focus, instead, on degrees-of-freedom:

$$DoF = \lim_{SNR \to \infty} \frac{C_{sum}(SNR)}{\log SNR}.$$







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- Pros:
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- Cons:
 - may not "well reflect" actual performance at practical SNRs





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• Assume the channel coefficients change over time: $y_i(t) = h_{ii}(t)x_i(t) + \sum_{j \neq i} h_{ij}(t)x_j(t) + z_j(t)$



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- Consider T channel uses:



$$Y_i = H_{ii}X_i + \sum_{j\neq i}H_{ij}X_j + Z_i.$$



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Let us assume each transmitter j sends m information symbols S_j across the T channel uses:

$$X_j = V_j S_j,$$

where $V_j \in C^{T \times m}$ represents the precoding matrix.

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where $V_j \in C^{T \times m}$ represents the precoding matrix. Note that the *i*-th interference term $\sum_{j \neq i} H_{ij} V_j S_j$ lives in the range space of the matrix

$$\begin{bmatrix} H_{i1}V_1 & \dots & H_{i,i-1}V_{i-1} & H_{i,i+1}V_{i+1} & \dots & H_{in}V_n \end{bmatrix}_{T\times(n-1)m}$$

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for all i = 1, ..., n, then each user can send m symbols interference free across T channel uses! (Thus, DoF = m.)

In other words, the interference has *aligned* onto a T - m dimensional subspace at each receiver.

When T = n, m = 1 is trivially achieved by time sharing. (DoF = 1.)

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Cadambe and Jafar's argument relies heavily on the fact that the H_{ij} are diagonal. They give explicit constructions for the precoding matrices when $T = O(n^N)$.

Remarks

This is a remarkable result.



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This is clearly not practically feasible. (But it does suggest what to shoot for in practical systems.)



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Ergodic Interference Alignment (Nazer et al, 2009)

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Assuming the H_{ij} vary in an ergodic fashion and that their distributions are symmetric, one can achieve $DoF = \frac{n}{2}$ without non-causal CSIT:

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- 2 at some future time t, we will encounter channel coefficients such that $H_{kl}(t) = -H_{kl}(1)$, for all $k \neq l$.
- **③** at such a time t, each transmitter i transmits the signal $x_i(t) = x_i(1)$.

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- thus each symbol is transmitted interference-free over two channel uses and $DoF = \frac{n}{2}$ is achieved!

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Nonetheless, there is a growing literature on attempting to do interference alignment with more reasonable CSIT assumptions. (The jury is still out on what the gains are.)

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• Exploit IA principles under realistic assumptions on CSIT



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- Tight connection to the *index coding* problem [Birk & Kol'98]



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Example:



1	\times	0	0	×	
×	1	0	0	×	
0	\times	1	\times	0	
0	\times	\times	1	0	
×	0	\times	\times	1	
- '				· ·]	

b) Matrix entry pattern





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Note that the following sets of nodes can transmit interference-free:

 $\{1,2\}\ ,\ \{3,4\}\ ,\ \{5\}.$



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For example, $\{1,2\}$ can transmit in the first time slot, $\{3,4\}$ in the second, and $\{5\}$ in the third. Thus, $DoF = \frac{1}{3}$. Note that

$$\begin{bmatrix} 1 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}.$$

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Let each transmitter transmit one signal over two channel uses each:

$$X_1 = \begin{bmatrix} s_1 \\ 0 \end{bmatrix}, X_2 = \begin{bmatrix} 0 \\ s_2 \end{bmatrix}, X_3 = \begin{bmatrix} -s_3 \\ s_3 \end{bmatrix}, X_4 = \begin{bmatrix} -s_4 \\ s_4 \end{bmatrix}, X_5 = \begin{bmatrix} s_5 \\ 0 \end{bmatrix}$$



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 Y_1 , Y_3 and Y_5 therefore are

$$Y_{1} = \begin{bmatrix} s_{1} \\ 0 \end{bmatrix} h_{11} + \begin{bmatrix} -s_{3} \\ s_{3} \end{bmatrix} h_{13} + \begin{bmatrix} -s_{4} \\ s_{4} \end{bmatrix} h_{14} + Z_{1}$$

$$Y_{3} = \begin{bmatrix} -s_{3} \\ s_{3} \end{bmatrix} h_{33} + \begin{bmatrix} s_{1} \\ 0 \end{bmatrix} h_{31} + \begin{bmatrix} s_{5} \\ 0 \end{bmatrix} h_{35} + Z_{3}$$

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Note that



S: set of all pairs (i, j) such that receiver i has interference from transmitter j

$$A_{ij} = \begin{cases} 1 & \text{ if } i = j, \\ 0 & \text{ if } (i,j) \in S \& i \neq j, \\ \times & \text{ otherwise.} \end{cases}$$



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- receiver decodes s_i by: $\mathbf{u}_i \left(\mathbf{v}_i h_{ii} s_i + \sum_{j,(i,j) \in S} \mathbf{v}_j h_{ij} s_j + z_i \right)$

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where \mathbf{u}_i is the *i*-th row of U

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Challenges:



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Literature:

 Lots of attention in compressed-sensing and machine learning communities [Fazel, Recht, Parrilo, Candes, Montanari, Sanghavi, Oymak-Hassibi, etc.]

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• The non-convex optimization problem

minimize	rank(A)	
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is often relaxed to the convex optimization

 $\begin{array}{ll} \text{minimize} & \|A\|_*\\ \text{subject to} & A_S = I \end{array}$

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The problem

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will always return the solution A = I, which is full rank.

• The reason is simply that $|trace(A)| \le ||A||_*$:

$$|\operatorname{trace}(A)| = \left| \operatorname{trace}\left(\sum_{i} u_{i} \sigma_{i} v_{i}^{*}\right) \right|$$
$$= \left| \sum_{i} \operatorname{trace}\left(u_{i} \sigma_{i} v_{i}^{*}\right) \right|$$
$$= \left| \sum_{i} \sigma_{i} v_{i}^{*} u_{i} \right| \leq \sum_{i} \sigma_{i} |v_{i}^{*} u_{i}| \leq \sum_{i} \sigma_{i} = ||A||_{*}$$

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Instead of searching for the optimal *r*, seek a completion for a *fixed r*: Matrix Completion Problem:

> find Asubject to $A_S = I$ $\operatorname{rank}(A) = r$



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(S1) Rank r matrices

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Observation: It is very easy to project any given matrix onto the sets (S1) and (S2) individually

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Alternating Projection Method

Algorithm 1 Proposed Algorithm: Alternating Projection Method

Let A^0 be a random matrix. From i = 0 until convergence:

- Project A^i onto (S1): $B^i = svd(A^i, r)$
- Project B^i onto (S2): $A^{i+1} = [B^i]_{S^c} + I$

Descent method:

• B^{i+1} is the best rank r approximation of $[B^i]_{S^c} + I$

$$||B^{i+1} - ([B^{i}]_{S^{c}} + I)||_{F}^{2} \le ||B^{i} - ([B^{i}]_{S^{c}} + I)||_{F}^{2}$$

$$\Rightarrow ||B^{i+1}_{S^{c}} - B^{i}_{S^{c}}||_{F}^{2} + ||B^{i+1}_{S} - I||_{F}^{2} \le ||B^{i}_{S} - I||_{F}^{2}$$

Convergence to fixed points:

$$B = svd(B_{S^c} + I, r)$$



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Algorithm 2 AltMin

Inputs: *n*, *r*, *S*, *P*_t. **Initialization**: $U_0 \in \mathbb{R}^{n \times r}$ random. From i = 0 until convergence,

• Solve for V_i:

minimize $\|(U_{i-1}V_i^T - I)_S\|$

• Solve for U_i :

minimize $\|(U_iV_i^T - I)_S\|$

If algorithm converges to V_N and U_N , output V_N and U_N .

S includes the set of indices where $A_{ij} = 0$ and the diagonal.



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 Alternating Projection method recovers the optimal rank for all the index coding examples in [Birk & Kol'98] and all the TIM problems in [Jafar'13]





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- However, we know from extensive simulations (on much larger problems) that the method does not always yield the optimal rank



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- However, we know from extensive simulations (on much larger problems) that the method does not always yield the optimal rank convergence analysis is still on-going

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The problems of

- network coding
- index coding
- Istributed storage
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- for linear codes, can all be recast as the problem

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- While there is a huge literature on matrix completion over the real and complex fields, there is virtually no literature for finite fields.
- Can one leverage the former results for the latter? (Compressed sensing and LP decoding.)



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 provides an opportunity to apply premises of IA under realistic assumptions on CSIT



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- How is the capacity affected when you consider geometrically-placed transmitters and receivers, path-loss models, fading and put back in the real channel coefficients?

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- How does TIM compare to the baseline, i.e., *interference avoidance* (frequency reuse, etc)?



Hexagonal Grid: Setup

- N=8,18,24,32,50 cells.
- 6 users per cell,
- average SNR in each cell = 20*db*
- average INR from neighboring cell = 12*db*
- path loss model: $h_{ij} \sim \mathcal{N}(0, \left(\frac{d_{ij}}{r_0}\right)^{-4.0})$ <u>Methods</u>
 - frequency reuse 3 yields $DoF = \frac{1}{18}$
 - e with carefully-placed users, and no fading, Jafar exhibits an optimal $DoF = \frac{1}{7}$ (257% improvement)

• we will randomly place 6 users in each cell and will consider fading



Hexagonal Grid: Results

DoF

	FreqReuse	Coloring	AltMin
DoF	1/18	1/11	1/9



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Hexagonal Grid: Results

DoF

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• Sum Rate

	FreqReuse	Coloring	AltMin
<i>N</i> = 8	13.5302	14.7916	6.2415
N = 18	23.3473	23.1307	13.0369
N = 24	29.0311	29.2044	14.9266
N = 32	41.2803	39.0702	22.3766
N = 50	60.4578	62.7105	35.1663



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This is really bad. What is going on?

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- At receiver i

$$u_i y_i = u_i v_i h_{ii} s_i + \sum_{j:A_{ij}=0}^n \underbrace{u_i v_j}_{=0} h_{ij} s_j + \sum_{j:A_{ij}=\times}^n u_i v_j h_{ij} s_j + u_i z_i.$$


Let us Look at the Sum Rate

- Transmitter *i* has signal s_i , $E|s_i|^2 = 1$ and transmits $x_i = v_i s_i \in \mathcal{R}^r$. The power constraint per channel use is $\frac{E||x_i||^2}{r} = P_t$, which translates to $||v_i||^2 = rP_t$.
- At receiver i

$$u_i y_i = u_i v_i h_{ii} s_i + \sum_{j:A_{ij}=0}^n \underbrace{u_i v_j}_{=0} h_{ij} s_j + \sum_{j:A_{ij}=\times}^n u_i v_j h_{ij} s_j + u_i z_i.$$

Therefore the sum rate is

$$C_{sum} = \sum_{i=1}^{r} \frac{1}{r} \log \left(1 + \frac{|u_i v_i|^2 |h_{ii}|^2}{\sigma^2 ||u_i||^2 + \sum_{j:A_{ij} = \times}^{n} |u_i v_j|^2 |h_{ij}|^2} \right)$$

or

$$C_{sum} = \sum_{i=1}^{r} \frac{1}{r} \log \left(1 + \frac{\frac{|u_i v_i|^2}{||u_i||^2 ||v_i||^2} r P_t |h_{ii}|^2}{\sigma^2 + \sum_{j:A_{ij} = \times}^{n} \frac{|u_i v_j|^2}{||u_i||^2 ||v_j||^2} r P_t |h_{ij}|^2} \right)$$

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The Sum Rate

$$C_{sum} = \sum_{i=1}^{r} \frac{1}{r} \log \left(1 + \frac{\frac{|u_i v_i|^2}{||u_i||^2 ||v_i||^2} r P_t |h_{ii}|^2}{\sigma^2 + \sum_{j:A_{ij} = \times}^{n} \frac{|u_i v_j|^2}{||u_i||^2 ||v_j||^2} r P_t |h_{ij}|^2} \right)$$



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The Sum Rate

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• Looking at the results of the simulations for "AltMin", the value $\frac{|u_iv_i|^2}{||u_i||^2||v_i||^2}$ was often very small.



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- Looking at the results of the simulations for "AltMin", the value $\frac{|u_i v_i|^2}{||u_i||^2||v_i||^2}$ was often very small.
- Therefore we will impose the extra constraint in the algorithm that

$$rac{|u_iv_i|^2}{\|u_i\|^2\|v_i\|^2} \geq c, \hspace{1em} ext{for some } 0\leq c\leq 1.$$

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Constrained Alternating Minimization

Algorithm 3 AltMinCon

Inputs: *n*, *r*, *S*, *c*, *P*_t. **Initialization**: $U_0 \in \mathcal{R}^{n \times r}$ random. From i = 0 until convergence,

• Solve for V_i:

$$\begin{array}{ll} \text{minimize} & \|(U_{i-1}V_i)_S\| \\ \text{subject to} & \|\mathbf{v}_j^{(i)}\| \leq 1 \text{ and } (\mathbf{u}_j^{(i-1)})^T \mathbf{v}_j^{(i)} \geq c \|\mathbf{u}_j^{(i-1)}\| & \forall j \end{array}$$

• Solve for U_i:

minimize $\|(U_i V_i)_S\|$ subject to $\|\mathbf{u}_j^{(i)}\| \le 1$ and $(\mathbf{u}_j^{(i)})^T \mathbf{v}_j^{(i)} \ge c \|\mathbf{v}_j^{(i)}\| \quad \forall j$

If algorithm converges to V_N and U_N , normalize columns of V_N to satisfy transmit power constraint $\|\mathbf{v}_j^{(N)}\| \le \sqrt{r}P_t$. output V_N and U_N .

S includes only the set of indices where $A_{ij} = 0$.

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AltMin vs AltMinCon



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DoF

	FreqReuse	Coloring	AltMin	AltMinCon
DoF	1/18	1/11	1/9	1/11



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DoF

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Sum Rate

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<i>N</i> = 8	13.5302	14.7916	6.2415	11.3251
N = 18	23.3473	23.1307	13.0369	20.6579
<i>N</i> = 24	29.0311	29.2044	14.9266	23.7311
N = 32	41.2803	39.0702	22.3766	34.6017
<i>N</i> = 50	60.4578	62.7105	35.1663	54.3691

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Better, but still not quite good enough. What is going on?



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$$C_{sum} = \sum_{i=1}^{r} \frac{1}{r} \log \left(1 + \frac{\frac{|u_i v_i|^2}{||u_i||^2 ||v_i||^2} r P_t |h_{ii}|^2}{\sigma^2 + \sum_{j:A_{ij} = \times}^{n} \frac{|u_i v_j|^2}{||u_i||^2 ||v_j||^2} r P_t |h_{ij}|^2} \right)$$



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• Simulations show that the interference terms (which are ignored in the structure of A) may not be very small.



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Proposed Algorithm



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Proposed Algorithm

We therefore propose

$$\min_{U \in \mathcal{R}^{n \times r}, V \in \mathcal{R}^{r \times n}} \sum_{(i,j) \in S, i \neq j} |u_i v_j|^2 + \lambda \sum_{(i,j) \notin S} |u_i v_j|^2 E |h_{ij}|^2$$

where $E|h_{ij}|^2$ depends only on the (distance of the) cells in which receiver *i* and transmitter *j* live, subject to

$$rac{|u_iv_i|^2}{||u_i||^2||v_i||^2} \geq c, \hspace{1em} ext{for some } 0\leq c\leq 1.$$

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where $E|h_{ij}|^2$ depends only on the (distance of the) cells in which receiver *i* and transmitter *j* live, subject to

$$\frac{|u_iv_i|^2}{\|u_i\|^2\|v_i\|^2} \geq c, \quad \text{ for some } 0 \leq c \leq 1.$$

The above can also be solved in an alternating minimization fashion.

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DoF

	FreqReuse	Coloring	AltMin	AltMinCon	RateOpt
DoF	1/18	1/11	1/9	1/11	1/8



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DoF

	FreqReuse	Coloring	AltMin	AltMinCon	RateOpt
DoF	1/18	1/11	1/9	1/11	1/8

• Sum Rate

	FreqReuse	Coloring	AltMin	AltMinCon	RateOpt
<i>N</i> = 8	13.5302	14.7916	6.2415	11.3251	15.4326
N = 18	23.3473	23.1307	13.0369	20.6579	28.1829
<i>N</i> = 24	29.0311	29.2044	14.9266	23.7311	32.2458
N = 32	41.2803	39.0702	22.3766	34.6017	47.0489
<i>N</i> = 50	60.4578	62.7105	35.1663	54.3691	70.4724

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We get 10%-20% improvement in the sum rate



Ad hoc Network Example

- N=100 Tx-Rx pairs randomly placed in a 20 × 20 square
- max distance btw Tx-Rx is 1
- average SNR to desired user = 20*db*
- path loss model:

$$h_{ij} \sim \mathcal{N}(0, \left(\frac{d_{ij}}{r_0}\right)^{-4.0})$$

Algorithms

- greedy Coloring (Coloring)
- 2 matrix Completion (AltMin)
- onstrained matrix Completion (AltMinCon)
- rate optimization (RateOpt)



Ad hoc Network Results

• Average values over 25 realizations



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Ad hoc Network Results

• Average values over 25 realizations



	Coloring	AltMin	AltMinCon	RateOpt
Rank	6.28	6.16	6.16	3.28
Sum Rate	56.0615	51.0674	55.9420	77.7062



Ad hoc Network Results

• Average values over 25 realizations



	Coloring	AltMin	AltMinCon	RateOpt
Rank	6.28	6.16	6.16	3.28
Sum Rate	56.0615	51.0674	55.9420	77.7062

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We obtain a %40 improvement in the sum rate.

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- Interference alignment
 - unreasonable CSIT assumptions (not very practical)



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- Interference alignment
 - unreasonable CSIT assumptions (not very practical)
- Topological interference alignmment
 - requires only topological information of the network; can significantly improve the DoF
 - reduces to low rank matrix completion
 - related to network coding, index coding, secret sharing (when over finite fields)

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 - unreasonable CSIT assumptions (not very practical)
- Topological interference alignment
 - requires only topological information of the network; can significantly improve the DoF
 - reduces to low rank matrix completion
 - related to network coding, index coding, secret sharing (when over finite fields)
- In practice DoF can be misleading
 - developed alternative algorithms (moved away somewhat from TIM)
 - promising preliminary results: there is something to be had





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- What are good initializations for the various Alternating Projection methods?
- Can we give conditions for optimality of the solution of AP method, or performance bounds otherwise?
- Other matrix completion-based approaches

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- Study of the finite field problem